

# Sample Case Report

## By Triangle IP



US 20200050602A1

(19) **United States**  
 (12) **Patent Application Publication** (10) **Pub. No.: US 2020/0050602 A1**  
**Schindlauer et al.** (43) **Pub. Date: Feb. 13, 2020**

(54) **DYNAMIC ASSET MONITORING AND MANAGEMENT USING A CONTINUOUS EVENT PROCESSING PLATFORM** (51) **Publication Classification**  
**Int. Cl.**  
*G06F 16/245* (2006.01)  
*G06F 16/25* (2006.01)  
*G06F 16/2455* (2006.01)  
 (71) Applicant: **Microsoft Technology Licensing, LLC**, Redmond, WA (US) (52) **U.S. Cl.**  
 CPC ..... *G06F 16/245* (2019.01); *G06F 16/2471* (2019.01); *G06F 16/24568* (2019.01); *G06F 16/258* (2019.01)  
 (72) Inventors: **Roman Schindlauer**, Seattle, WA (US); **Balan Sethu Raman**, Redmond, WA (US); **Torsten W. Grabs**, Seattle, WA (US); **Beysim Sezgin**, Redmond, WA (US) (57) **ABSTRACT**

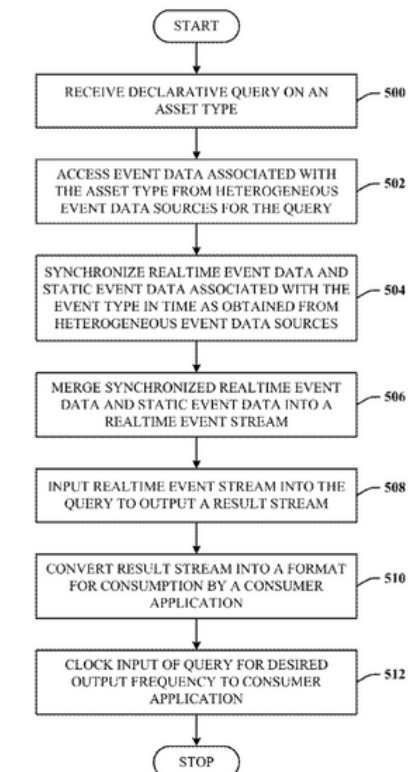
(21) Appl. No.: **16/548,515**

(22) Filed: **Aug. 22, 2019**

**Related U.S. Application Data**

(63) Continuation of application No. 14/636,437, filed on Mar. 3, 2015, now Pat. No. 10,409,809, which is a continuation of application No. 12/826,689, filed on Jun. 30, 2010, now Pat. No. 8,977,643.

The disclosed architecture leverages realtime continuous event processing (CEP) to address using a general input interface framework to import a dynamic set of event types (e.g., assets), and using a declarative, expressive query model to implement monitoring and management tasks on an asset level. This is in contrast to looking separately at single values from static databases and/or realtime streams as is common conventionally. The architecture uses the CEP data model to model assets as realtime event types. Thus, queries can be formulated per asset and not just per single stream. The architecture uses the query capabilities of CEP to formulate asset management and monitoring tasks as standing, declarative queries, and uses the input interface of a CEP platform to correlate data from different data sources with different dynamic properties.



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# Bibliographic Data:

**Application Title** : Dynamic asset monitoring and management using a continuous event processing platform

**Application Serial Number** : 16/548,515

**Application Date** : 08-22-2019

**Registration/Publication Number** : US20200050602A1

**Art Unit** : 2168 - Data Bases & File Management

**Inventor(s)** : Roman Schindlauer, Balan Sethu Raman, Torsten W. Grabs, Beysim Sezgin

**Patent Counsel** : Microsoft Corporation

**Assignee** : Microsoft Corp Microsoft Technology Licensing LLC

# Executive Summary

The application is pending and is in good health. It's the third patent in the family. It has been almost 2 years since the application has been filed with 1 round of argument so far. The average number of argument rounds received for an application falling under the 2168 art unit (Data Bases & File Management) under which the application falls is 1.4. The allowance time is 2.5 years from filing. Compared to this, the examiner handling the case (Dangelino N Gortayo) issues an average of 1.2 argument rounds and allows the application in 2.7 years from filing. There is a 79% chance of the subject application getting allowed.

The cases prosecuted by the patent counsel, Microsoft Corporation, have less than average allowance rates, and more than average allowance time, and the number of argument rounds as compared to similarly examined cases.

# Patent Summary\*

The disclosed architecture leverages realtime continuous event processing (CEP) to address using a general input interface framework to import a dynamic set of event types (e.g., assets), and using a declarative, expressive query model to implement monitoring and management tasks on an asset level. This is in contrast to looking separately at single values from static databases and/or realtime streams as is common conventionally. The architecture uses the CEP data model to model assets as realtime event types. Thus, queries can be formulated per asset and not just per single stream. The architecture uses the query capabilities of CEP to formulate asset management and monitoring tasks as standing, declarative queries, and uses the input interface of a CEP platform to correlate data from different data sources with different dynamic properties.

## Tags

Event Processing, Asset Monitoring, Query Model, Streams, Static Database, CEP

\*The patent summary can any time be edited by the authorized users within the TIP tool

# Prosecution Health:


**Health** : Good  
**Status** : Non-final action mailed  
**Office Action(s)** : 1  
**Patentability Score\*** : 7.9

# Attorney Details:

|                      |                              |                    |
|----------------------|------------------------------|--------------------|
| Thomas Irwin<br>Name | 216-264-4075<br>Phone Number | 77,129<br>Reg. No. |
|----------------------|------------------------------|--------------------|

\* Read Annex

# Patent Counsel and Examiner Analytics

|  |  |   |  |
|--|--|---|--|
| <br>Case Health | 79%<br>Predicted Allowance Rate                            | 1<br>Total Argument Rounds                                  | 2.9 Years<br>Predicted Allowance Time                      |
| Microsoft Corporation<br>Patent Counsel  | Less Than Average ↓<br>Allowance Rate<br>(In last 5 years) | More Than Average ↑<br>Argument Rounds<br>(In last 5 years) | More Than Average ↑<br>Allowance Time<br>(In last 5 years) |
| Dangelino N Gortayo<br>Examiner  | 85%<br>Avg. Allowance Rate                                 | 1.2<br>Avg. Argument Rounds                                 | 2.7 Years<br>Avg. Allowance Time                           |
| GAU - 2168   | 83%<br>Avg. Allowance Rate                                 | 1.4<br>Avg. Argument Rounds                                 | 2.5 Years<br>Avg. Allowance Time                           |

\*The statistics provided above are calculated based on the applications filed in the last 5 years

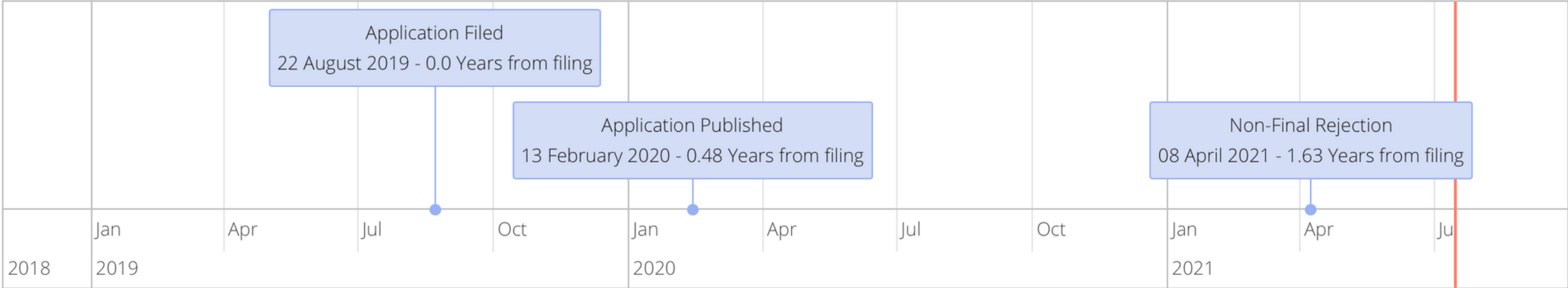
# Detailed Examiner Analytics

|                                  | Examiner     | Group Art Unit |
|----------------------------------|--------------|----------------|
| Total Applications:              | 597          | 8172           |
| Granted Patents:                 | 385          | 5477           |
| Allowance Rate:                  | 70.26%       | 71.46%         |
| Abandoned/Rejected Applications: | 163 (29.74%) | 2147 (28.54%)  |
| In-Process Applications:         | 49           | 648            |
| Allowance Time:                  | 3.68 Years   | 3.77 Years     |
| Average Office Actions:          | 2.39         | 2.58           |

The **interviews** were conducted for **42.21%** of cases handled by the examiners. The applicants have **appealed 8.04%** of the examiner's cases, out of which **3.69%** has been **affirmed** by the board, and **2.68% has been reversed**.

\*The statistics provided above are based on all the applications handled by the examiner, irrespective of time range

# Application Timeline



— Date of the Report

# Associated Costs

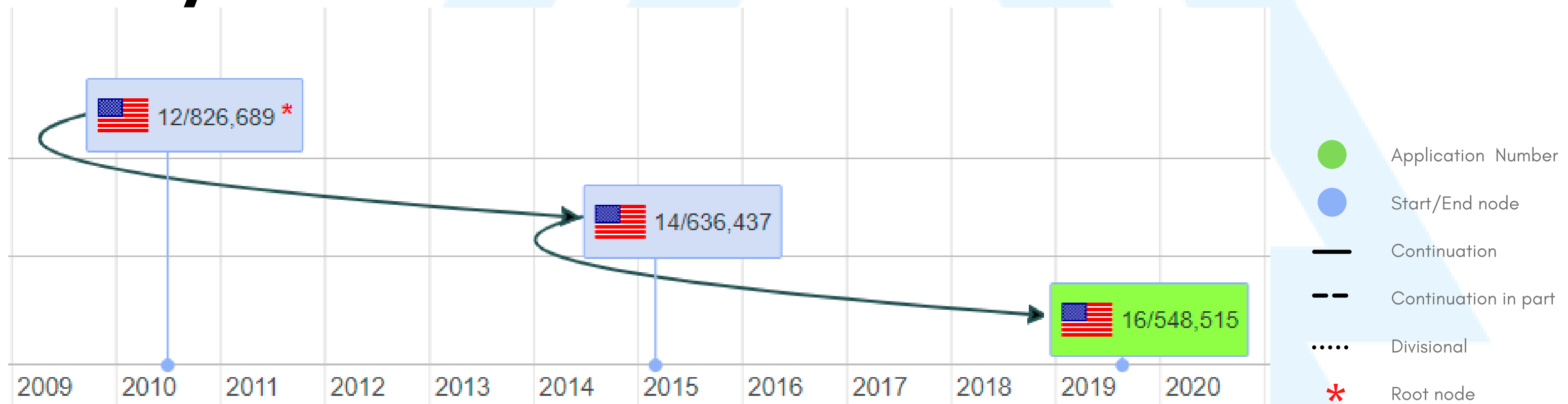
|                            |                            |                      |
|----------------------------|----------------------------|----------------------|
| Predicted Cost<br>\$40,130 | Remaining Cost<br>\$16,460 | Next Cost<br>\$3,000 |
|----------------------------|----------------------------|----------------------|



# Parent Continuity Data

| Application Number | Publication Number | Filing Date | Issue Date | Continuation Type |
|--------------------|--------------------|-------------|------------|-------------------|
| 14/636,437         | US10409809B2       | 03-03-2015  | 09-10-2019 | CON               |
| 12/826,689         | US8977643B2        | 06-30-2010  | 03-10-2015 | CON               |

## Family Tree





All of this data was generated through the TIP tool. Some of these features are not yet fully released.

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“

Your gut has become obsolete with so much analytical data out there to help you make decisions.

Thomas Franklin  
Co-Founder, Triangle IP

”



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[TIP Terminology](#)



# Annex

Case Health

Health of this case based on predicted statistics

Patentability Score

The likelihood of receiving a patent on a scale of 10, 10 being the highest. The TIP tool provides an automatic patentability score to your case based on the invention summary. The user also has the option to enter a patentability score manually, which is different from the system-generated score.

Latest OA

Latest available office action

Avg. OA(s)

Average number of office actions taken

Next Cost

Predicted cost for the next stage in the prosecution cycle

Remaining Cost

Remainder of the predicted cost that may be incurred to the applicant



Continuity Data

Continuity data is information about continuation applications that may have been filed based on a parent application.

Parent Continuity Data

Data related to the parent application. Parent application is typically the first non-provisional patent application filed for an invention.

Child Continuity Data

Data related to child applications. A child patent application is filed while a parent application is still pending (i.e., not issued or abandoned). By linking a child to a parent through a priority claim, the child application benefits from the priority date of the parent(s).

Number of Rejections

The number of Office Actions predicted to be received by the USPTO, based on the summary input by the user

Allowance Rate

The percentage chance of an idea getting allowed/granted by the USPTO

Time of Allowance

The predicted time in which the idea is likely to be granted by the USPTO